

Sub-Scheme of Delegation Director of Policy, Strategy and Engagement

The Director of Policy, Strategy and Engagement is authorised in accordance with the Officer Delegation Scheme in [Appendix 9 of the Constitution](#) to carry out functions on behalf of the Council and the Executive. The Director of Policy, Strategy and Engagement and each Executive Director has the benefit of a number of delegations – these are set out separately in two schemes in Appendix 9; one for non-executive functions (delegated by the Council) and one for Executive Functions (delegated by the Leader of Council). Each scheme is further separated into the general functions which are shared so that officers can carry out those functions in relation to areas within their remit, and specific functions which may only be carried out by or on behalf of the Director of Policy, Strategy and Engagement. Details of those delegations can be found in Appendix 9 of the Council's Constitution.

The Director of Policy, Strategy and Engagement has chosen to sub-delegate some or all of those functions to officers of suitable experience and seniority in his/her own directorate or in another directorate. These officers are identified by reference to their job title rather than by name. If the Director of Policy, Strategy and Engagement delegates functions, he/she makes it clear in this sub-delegation scheme whether the Executive Director can sub-delegate those functions. This scheme details the officers who can carry out each function on the Director of Policy, Strategy and Engagement's behalf, together with the details of any terms and conditions which the Director has imposed on that sub-delegation. All officers are bound by the Officer Employment Procedure Rules and should consider these together with any other rules or requirements in relation to personal conflicts of interest which may apply to them when exercising authority delegated under this scheme.

Even though the Director of Policy, Strategy and Engagement has sub-delegated the functions he/she remains accountable for all decisions taken in accordance with this scheme. An officer with sub-delegated authority may decide not to exercise that authority, or the Director of Policy, Strategy and Engagement may indicate that the authority should not be exercised, in respect of any individual matter. In either case that matter should be referred to the Director of Policy, Strategy and Engagement for a decision or for referral to the relevant Committee if appropriate.

Where the Director of Policy, Strategy and Engagement has chosen not to sub-delegate his/her authority, this is clearly stated within the scheme. Decisions in relation to those functions should be taken by the Director of Policy, Strategy and Engagement unless the absence provisions at the end of this scheme apply.

Group Delegations – Definitions and Priorities

The Director of Policy, Strategy and Engagement has chosen to delegate a number of functions to groups of officers. Where the same group of officers receive a number of separate delegations, in order to save space within the sub-delegation scheme, those groups of officers have been given a title. The following table sets out the title of each group of officers and lists the officers within each group. It also provides details of how it should be determined which of the officers within the group should take any given decision.

Group Title	Officers included in group authorisation	Responsibility
Directorate Leadership Team	Head of Communications and Marketing Head of Democratic Services Head of Neighbourhoods Head of Policy, Performance & Intelligence	<p>Each to act in relation to matters within their remit unless absent in which case any other Service Director may act.</p> <ol style="list-style-type: none"> 1. In respect of all financial matters: <ul style="list-style-type: none"> • Authorisation to spend within approved budgets subject to the policies, Financial and Procurement Procedure Rules of the Council • Authorisation for the virement of revenue budgets in according with the Financial and Procurement Procedure Rules of the Council 2. In respect of departmental assets: <ul style="list-style-type: none"> • The purchase of replacement vehicles, plant or equipment, including computer hardware and software for which financial provision has been made in accordance with the Financial and Procurement Procedure Rules of the Council. • Declaring obsolete furniture and equipment, and other materials surplus to requirements provided that the estimated value of any one item or group of items is not more than £1,000. 3. In respect of procurement, contract administration and best value: <ul style="list-style-type: none"> • In accordance with Financial and Procurement Procedure Rules, matters relating to the specification for works, goods and services, the seeking of

		<p>estimates, quotations and tenders, the evaluation of the same and acceptance of tenders relating to contracts for works, goods and services in accordance with approved budgets or supplementary estimates.</p> <ul style="list-style-type: none"> • Where a contract for support and maintenance is renewed within five years of the original contract, authority to award such a contract. <p>4. In respect of in-house service providers:</p> <p>5. Human Resources functions delegated:</p> <p>Subject to the next following bullet points, the following HR functions are delegated to Executive and Service Directors:</p> <ul style="list-style-type: none"> • Establishment of posts • Changes to establishment/restructuring • Transfer of posts between service sections and units • Approval to fill vacant posts within approved establishment, including posts externally funded (where directors delegate this responsibility to senior managers in the service a list of authorised officers for this should be maintained by the directorate HR manager) • Appointment to posts below Service Director, with approved establishment (where this is delegated to senior managers, a list of authorised officers should be maintained by the directorate HR manager) • Use of the re-location scheme for new appointments (the standard pro-forma should be used) • Transfer of staff internally to equivalently graded posts • Approving the recruitment of additional temporary staff for maternity leave cover • Approving the recruitment of additional temporary staff to meet work demands/ seasonal fluctuations (all arrangements should be registered and recorded appropriately by the service).
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		<ul style="list-style-type: none"> • Agreeing applications for job share and filling of resultant vacancies (the standard pro forma should be used by the service and retained as a record of an agreed job share arrangement). • Agreeing work experience placements for students NOT employed by the Council (there is a standard form for this purpose which should be used by the service). • Agreeing the transition of employees from probationary to permanent employment status • Grading of new posts/changes to existing grades (to satisfy equal pay requirements job grades must be submitted to the directorate HR manager for assessment by the Corporate Pay and Grading Panel). Also covered by this delegation are other payments for non-regular working for all staff, including, for example, standby payments and, in addition, the application of the Council's grading protection scheme • Agreeing appropriate starting salaries (Executive and Service Directors have full delegated powers to determine the salary necessary to attract candidates within the grade determined for the job (the directorate HR manager should be consulted and consistent guidelines for the directorate established and maintained) • Considering personal applications for re-grading (on the advice of a member of the Corporate Pay and Grading Panel) • Approving honoria/ex-gratia payments in appropriate circumstances (in accordance with corporate guidelines to ensure consistency of approach and maintain equality) • Applying the disciplinary procedure with power to initiate investigation and undertake disciplinary procedures up to and including dismissal (Service Directors may delegate this responsibility to appropriate officers, who should consult the directorate HR manager to ensure a consistent approach). • Applying the grievance procedure with power to initiate investigations and undertake first stage grievance hearings (Service Directors may delegate this
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		<p>responsibility to appropriate officers, this should be done in consultation with the directorate HR manager to ensure a consistent approach)</p> <ul style="list-style-type: none"> • Monitoring of sickness procedures • Agreeing ill health terminations • Approving attendance on full time training courses, conferences etc. or to give lectures (not exceeding one week duration) • Authorising the acceptance of gifts/legacies and hospitality offered to staff • Authorising requests from staff to undertake additional employment (the authority delegated to Service Directors allows them to authorise additional employment for staff above SCP28) • Authorising overtime payments for staff (in accordance with corporate guidelines to ensure consistency and maintain equality) • Granting of special leave without pay • Granting of special leave with pay, including trade union time off • Considering applications to extend the period of paid sickness absence (this relates to the extension of the period of half pay; it is only at the point when employees are reaching the end of the period of half pay that such consideration can be given) • Approving early release of pension benefits – ill-health retirement (subject to the appropriate medical approval and in consultation with the Executive Director and Cabinet Member with responsibility for employment issues) • Authorising payment of compromise agreements or other forms of settlement of the termination of contract of employment • Authorising payment of ‘buy-out’ compensation (any ‘buy-out’ arrangements must be consistently applied in line with the philosophy of a single employer) • Issuing compulsory redundancy notices (in consultation with the Service Director of Human Resources) • Approval of excess travel expenses (where council policy is not appropriate, any arrangements must be consistently applied in line with the philosophy of a single employer)
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		<ul style="list-style-type: none"> • Approving requests for re-employment from persons having previously taken early release from the Council's employment. <p>The HR functions listed above are delegated to Executive and Service Directors subject to their consulting the Service Director of Human Resources in order to ensure that such functions are discharged in compliance with national/national agreements, equal pay requirements, and are consistent across directorates and accord with the philosophy of a single employer.</p> <p>The preceding general delegation only applies where the matter under consideration falls within the terms of an existing council policy. Where, the matter under consideration falls outside the particular council policy, in consultation with the Service Director of Human Resources, the Executive or Service Director concerned must prepare and submit a report outlining the issues for consideration and his or her recommendations for consideration by the Strategic Leadership Team.</p> <p>6. Other functions</p> <p>Any function not otherwise reserved to the full Council, the Cabinet, a committee of the Cabinet, a member of the Cabinet, a joint committee discharging executive functions or another local authority, relevant to the Director's portfolio.</p> <p>Compensation payments up to £500, in accordance with the Council's Customer Complaints Code of Practice for Officers and the Council's Financial and Procurement Procedure Rules.</p>
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Delegation of Functions under the Articles of the Constitution

Specific Delegation

The officer to whom these functions are sub-delegated may exercise these functions, which have been delegated to the Director of Policy, Strategy and Engagement alone, with general effect.

Article	Function Delegated	Officer to whom delegated	Terms and conditions
19.2	The Chief Executive will give a printed copy of the Constitution to each member of the Council upon delivery to him of that person's declaration of acceptance of office on the member first being elected to the Council.	Head of Democratic Services	This function is delegated by the Chief Executive to the Head of Democratic Services. It may be discharged by the Director of Policy, Strategy and Engagement.

Council (Non-Executive Functions)

The functions set out in this part of the sub-delegation scheme are Council Functions as defined by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended) which have been delegated to the Chief Executive by Council, or by a Council Committee.

Decisions in relation to these functions should be categorised in accordance with Article 13 and taken in accordance with the Access to Information Procedure Rules which can be found in Appendix 3 of the Constitution.

Where a significant decision is taken using delegated powers from the Constitution, the Council or a committee, or this sub-scheme of delegation, in relation to a Council Function it should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Record. The decision may be implemented immediately. The report supporting the decision should be published together with the Delegated Decision Record on the Council's website as soon as practicable after the decision has been taken.

Where an Administrative Decision is taken in relation to a Council Function you should keep a written record for audit purposes. You can use a Delegated Decision Record to make this written record if it is helpful to do so. The decision may be implemented immediately.

**Council Functions
General Delegations**

	Function Delegated	Officer to whom delegated	Terms and Conditions
General			
(a)	To make payments or provide other benefits in cases of maladministration	Not delegated	Functions to be exercised following consultation with the Chief Finance Officer and Monitoring Officer
(b)	Functions relating to health and safety under any relevant statutory provision within the meaning of Part 1 of the Health and Safety at Work Act 1974, to the extent that these functions are discharged otherwise than in the Council's capacity as employer	Not delegated	
Personnel			
(c)(i)	To appoint staff within the approved establishment in accordance with the Council's Recruitment and Selection Procedure	Not delegated	
(c)(ii)	To appoint staff on a temporary basis to provide cover for absences or cater for peaks in workload subject to there being budgetary provision.	Not delegated	1. Subject to there being budgetary provision. 2. Such staff should be employed on terms set out in the guidance issued by the Service Director of Human Resources.
(c)(iii)	To determine issues relating to officers' terms and conditions of employment and to take such action and enter into such agreement as may be required to give effect to such determinations.	Not delegated	

	Function Delegated	Officer to whom delegated	Terms and Conditions
Byelaws			
(d)	The enforcement of byelaws.	Not delegated	

Council Functions Specific Delegations

The sub-delegation scheme for Council functions set out below includes a number of powers authorising the named officer to make decisions in relation to approvals, licenses, permissions and registrations. In accordance with his/her general delegations, and unless otherwise stated, the Director of Policy, Strategy and Engagement includes in relation to those authorisations the power to:-

- (a) Impose conditions, limitation or restrictions;
- (b) Determine any terms to which they are subject;
- (c) Determine whether and how to enforce any failure to comply;
- (d) Amend, modify, vary or revoke; and
- (e) Determine whether a charge should be made or the amount of such a charge.

	Function Delegated	Officer to whom delegated	Terms and Conditions
(a)	Publication of agendas and reports in accordance with the Access to Information Procedure Rules (Appendix 3 of the Constitution)	Head of Democratic Services	Agendas and report for Cabinet and Council must have been reviewed and agreed for publication by the Leader and Chief Executive
(b)	Preparation and publication of minutes of meetings of the Council and its committees, Cabinet and other decision making bodies in accordance with the Access to Information Procedure Rules (Appendix 3 of the Constitution)	Head of Democratic Services	
(c)	Maintenance of a list of background papers, in accordance with the Access to Information Procedure Rules (Appendix 3 of the Constitution)	Head of Democratic Services	
(d)	Publication and supply of papers to the public and press, in accordance with the Access to Information Procedure Rules (Appendix 3 of the Constitution)	Head of Democratic Services	
(e)	To ensure that the Constitution of the Council is publicly available for inspection online and at Rotherham Town Hall	Head of Democratic Services	
(f)	To receive requests for the inclusion of items on agendas of Overview and Scrutiny Management Board and Select Commissions	Statutory Scrutiny Officer (Head of Democratic Services)	Agenda items to be referred to relevant Chair(s) for determination

(g)	To inform officers of requests to attend Overview and Scrutiny Management Board (on behalf of the Chair(s))	Statutory Scrutiny Officer (Head of Democratic Services)	
(h)	To record requests for call-in of executive (Cabinet) decisions	Statutory Scrutiny Officer (Head of Democratic Services)	

Executive Functions

Introduction

The functions set out in this part of the sub-delegation scheme are Executive Functions as defined by the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (as amended) which have been delegated to the Director of Policy, Strategy and Engagement by the Leader of Council.

If the Leader or relevant Cabinet Member directs that the Director of Policy, Strategy and Engagement should not exercise his/her delegated authority in respect of any Executive function, then the officer with sub-delegated authority may not exercise that authority, and the matter must be referred to Cabinet.

Decisions in relation to these functions should be categorised in accordance with Article 13 and taken in accordance with the Executive Procedure Rules which can be found in Appendix 1 of the Constitution.

Where a decision is proposed in relation to an Executive Function it should be supported by a report to the decision maker on the corporate report template and recorded on a Delegated Decision Record. Once the decision has been taken, it may be implemented immediately.

Where an Administrative Decision is taken in relation to an Executive Function you should keep a written record for audit purposes. You can use a Delegated Decision Record to make this written record if it is helpful to do so. There is no requirement to publish the decision and it may be implemented immediately.

**Executive Functions
General Delegations**

	Function Delegated	Officer to whom delegated	Terms and Conditions
Financial			
(a)	To incur expenditure and to generate and collect income in line with Finance and Procurement Procedure Rules and within approved revenue and capital estimates.	Service Directors Heads of Service	
(b)	In an emergency to incur any immediate and necessary expenditure required. Such expenditure must be reported to the Chief Finance Officer at the first opportunity.	Service Directors Heads of Service	
Procurement			
(c)	To make decisions in relation to commissioning and procurement activity. Such activity should be carried out in accordance with the Finance and Procurement Procedure Rules.	Service Directors Heads of Service	
Communications and Media			
(d)	To issue statements to the press and other news media about their delegated functions within the Council's adopted Budget and Policy Framework.	Not delegated	
Authorising Officers			
(e)	To authorise officers possessing such qualification as may be required by law or in accordance with the Council's policy, to take samples, carry out inspection, enter premises and generally perform the functions of a duly authorised	Not delegated	

	Function Delegated	Officer to whom delegated	Terms and Conditions
	officer of the Council (however described) and to issue any necessary certificates of authority.		
Corporate Procedures			
(f)	To take any action remitted to the Director of Policy, Strategy and Engagement under corporate procedures	Not delegated	
Local Choice Functions			
(g)	Functions under a local act, unless specified in Regulation 2 or Schedule 1 of the Local Authorities (Functions and Responsibilities) Regulations 2000	Not delegated	
(h)	To determine appeals against any decision of the authority	Not delegated	
(i)	To obtain particulars of persons interested in land	Not delegated	
Budget and Policy Framework			
(j)	To canvas views of local stakeholders, formulate initial proposals within the budget and policy framework	Not delegated	
Employment			
(k)	To deal with employment issues in accordance with agreed procedures and the relevant national conditions of service, as modified or extended by any local or national agreements	Director of Policy, Strategy and Engagement	
(l)	Decisions in relation to restructures, except where the decision: <ul style="list-style-type: none"> (i) Involves changes to existing national or local agreements and policies; and/or (ii) Cannot be achieved within delegated powers in respect of budgets 	Not delegated	Decisions are subject to: <ul style="list-style-type: none"> (i) Appropriate professional advice be sought (ii) Prior consultation with all appropriate parties affected by the decision,

	Function Delegated	Officer to whom delegated	Terms and Conditions
			including all officially recognised trade unions, and (iii) Appropriate consideration of pay and grading requirements (iv) Proposals which involve additional Council expenditure outside officer delegations or which involve issues outside existing Council policy will be referred to the Council or appropriate committee.
Functions on behalf of an NHS body			
(m)	To carry out functions exercisable on behalf of an NHS body under Section 75 of the National Health Service Act 2006 in relation to matters within the Director of Policy, Strategy and Engagement's remit.	Not delegated	
Provision of Statutory Returns			
(n)	To provide such statutory returns as are necessary within the Director of Policy, Strategy and Engagement's remit.	Not delegated	
Risk Management Policy			
(o)	To approve minor/ non-substantial changes to the Risk Management Policy	Not delegated	

**Executive Functions
Specific Delegations**

	Function Delegated	Officer to whom delegated	Terms and Conditions
	Any function of the Leader and Cabinet not otherwise delegated to a Executive Director in relation to:		
1	Any function not otherwise delegated at paragraphs 1a to 1c below in relation to civic and ceremonial functions of the Council	Director of Policy, Strategy and Engagement Head of Democratic Services	
1a	Provision of support to the Mayor	Head of Democratic Services	
1b	Ceremonial occasions	Head of Democratic Services	
1c	Authorisation of use of the Council's Crest	Service Director of Legal Services	
2	Any function not otherwise delegated at paragraphs 2a to 2b below in relation to devolution	Executive Director of Regeneration and Environment Director of Policy, Strategy and Engagement	
2a	Delivery of devolved powers in conjunction with Barnsley, Doncaster, Rotherham, Sheffield and the South Yorkshire Mayoral Combined Authority.	Executive Director of Regeneration and Environment Director of Policy, Strategy and Engagement	
2b	<ul style="list-style-type: none"> i) Liaison with Barnsley, Doncaster, Rotherham, Sheffield and the South Yorkshire Mayoral Combined Authority bodies ii) Liaison with central government departments 	Head of Policy, Performance & Intelligence	

3	Provisions of the Executive Procedure Rules concerning agendas and decision records arising from meetings of the Cabinet		
3a	To comply with the instructions of the Leader of the Council in respect of the compilation of the agenda for meetings of the Cabinet, and all other provisions concerning the agenda setting for Cabinet meetings detailed within the Executive Procedure Rules (Appendix 1 of the Constitution)	Head of Democratic Services	
4	To receive notification of changes to the membership of the Cabinet from the Leader of the Council	Head of Democratic Services	
5	To manage and oversee the Members' Allowances Scheme	Head of Democratic Services	
6	To manage and oversee the training and development of councillors	Head of Democratic Services	

Miscellaneous Functions

The functions set out in this part of the Sub-delegation scheme are derived from:-

- Legislation which provides that a function should be the specific responsibility of the Director of Policy, Strategy and Engagement;
- Policies and Procedures which form part of the Constitution of Rotherham Metropolitan Borough Council;
- Sub-delegations made by other Executive Directors of Rotherham Metropolitan Borough Council to whom those functions have been delegated; and
- Delegations which have been made to the Director of Policy, Strategy and Engagement by Council or the Cabinet for a period less than 6 months which are not therefore reflected in the Constitution

Place from where function derived	Function Delegated	Officer to whom delegated	Terms and Conditions

Absence Provisions

The table below sets out details of responsibility for those functions which are not sub-delegated by the Director of Policy, Strategy and Engagement in the usual course of business. These sub-delegations may only be exercised in the absence of the Director of Policy, Strategy and Engagement on leave or where the Director of Policy, Strategy and Engagement has confirmed in writing that he/she will be absent from the office and these provisions are to apply.

Function sub-delegated by the Director of Policy, Strategy and Engagement	Officer with authority to exercise function in absence of the Director of Policy, Strategy and Engagement	Terms and Conditions
All functions which are delegated to the Director of Policy, Strategy and Engagement, save for those mentioned specifically below	Head of Communications and Marketing	To, in the absence of the Director of Policy, Strategy and Engagement, exercise the functions of the Director of Policy, Strategy and Engagement in respect of Communications and Marketing
	Head of Democratic Services	To, in the absence of the Director of Policy, Strategy and Engagement, exercise the functions of the Director of Policy, Strategy and Engagement in respect of Democratic Services
	Head of Neighbourhoods	To, in the absence of the Director of Policy, Strategy and Engagement, exercise the functions of the Director of Policy, Strategy and Engagement in respect of Neighbourhoods
	Head of Policy, Performance & Intelligence	To, in the absence of the Director of Policy, Strategy and Engagement, exercise the functions of the Director of Policy, Strategy

		and Engagement in respect of Policy, Performance & Intelligence
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